

Ehlers' Privacy Policy

Your Privacy Is Important:

Ehlers - including the affiliated businesses of Ehlers & Associates, Inc., Ehlers Investment Partners LLC and Bond Trust Services Corporation - values your privacy. This policy discusses the information we collect about you, how we treat it, with whom we share it, and how we protect it.

Information We Collect:

In the normal course of business, we collect information about you from a variety of sources, including:

- » Information from you, such as information we receive on account applications or other forms (such as your name, address, tax id number, and financial information)
- » Information about you, your accounts, your securities holdings and transactions which we receive from you or others, such as account custodians, brokers, and other financial services firms

How We Manage & Protect Your Information:

We do not sell information about current or former clients to third parties. We may disclose your information as necessary to:

- » Affect, administer, or enforce a transaction that you request or authorize
- » Process or service a financial product or service that you request or authorize
- » Maintain or service your account with us or with another entity
- » Respond to inquiries from governmental and/or regulatory authorities

We may also disclose your information for everyday business purposes to:

- » Organizations or firms who provide consulting, technology or other services for us and agree to maintain its confidentiality
- » Attorneys or others who are authorized to represent you
- » Others as permitted or required by law or process of law, but only to the extent we first give you the choice to "opt-out" of such disclosure

Should Ehlers become engaged in a proposed or actual sale, merger, transfer or exchange of all or a portion of our affiliated businesses, we may disclose your personal information to a potential buyer and its legal, accounting and other professionals, as required for due diligence and transaction preparation. These disclosures will be subject to a contractual obligation requiring strict confidentiality from the potential buyer and its associated service professionals.

We restrict access to your information to our employees and permitted third-parties who require the information to provide products or services for us, or to provide, process, or maintain a security, account, or investment product, service or program for you or your benefit.

To protect your information from unauthorized access or use, we actively maintain administrative, technical, and physical security procedures that comply with state and federal law. These measures include computer safeguards and secured files and buildings.

Client Notifications

This policy applies to both current and former clients and is readily available on our public website (www.ehlers-inc.com/disclosures).

Ehlers reserves the right to amend this policy at any time, without prior notice, subject to compliance with applicable regulatory requirements. When we do amend our policy, we notify current clients by providing an electronic link to the new document. We do not notify former clients of policy changes.

If you have questions about this notice, please contact us at (800) 552-1171 or info@ehlers-inc.com.